

BEST PRACTICES RELATED TO CORONAVIRUS MITIGATION WHEN CONDUCTING LIVE RACING IN A SPECTATOR-FREE ENVIRONMENT



NOTE: The guidance provided in this document is based upon information and practices provided by the horse racing industry's public health advisory council made up of healthcare experts in the fields of infectious disease and epidemiology (the "Public Health Advisory Council") as well as state and local health department officials and numerous racetracks and organizations, including Churchill Downs, Inc., the New York Racing Association, Keeneland Association Inc. and The Stronach Group, among others. The current environment for coronavirus spread and mitigation is rapidly changing; consequently, these practices will be updated as necessary to reflect changing recommendations. Moreover, racing operators are urged to seek additional guidance from their state and local public health officials, the Centers for Disease Control and Prevention (CDC) and other state and federal governmental authorities when compiling their specific coronavirus action plan.

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1. INTRODUCTION

The guidance provided in this document is based upon the best currently available information and practices for coronavirus mitigation as outlined by the CDC and health officials at the state and local level. Additionally, the Thoroughbred racing industry has created a panel of public health experts and medical professionals specializing in infectious disease and epidemiology who have agreed to oversee re-opening efforts and address the evolving COVID-19-related risks associated with Racetrack operations. The individuals who make up the Public Health Advisory Council include:

- Dr. Rick Greenberg, Infectious Disease Specialist, University of Kentucky
- Dr. Hiram Polk, Former Public Health Commissioner, Kentucky Department for Public Health
- Dr. Kelly Ryan, Family and Sports Medicine Specialist, MedStar Health
- Dr. Barry Schumer, Track Physician, Keeneland

The basic protocols of the CDC guidance that serve as the foundation of the Best Practices referenced throughout this document (with situation-specific modifications as required) include:

- Wearing a Face Mask (and gloves if appropriate) at all times;
- Changing Face Masks and gloves on a regular basis;
- Practicing Physical Distancing at all times;
- Avoiding all physical contact, including, but not limited to, greetings;
- Covering mouth and nose with arm or shoulder in addition to PPE or Face Mask (and gloves if appropriate) when coughing or sneezing;
- Refraining from sharing pens, equipment or anything else; and
- Washing hands for 20-seconds and/or using hand sanitizer on a regular basis.

It is important to acknowledge that while the capacity of COVID-19 testing varies state-by-state, Racetracks should, to the best of their ability, implement testing protocols based on guidance from relevant local public health officials and consistent with CDC guidelines. In general, testing capacity has increased at a faster pace than originally anticipated. In order to ensure the health and safety of Essential Personnel and mitigate the risk of exposure/spread, testing must be prioritized pending availability. Point-of-care testing (“POC Testing”) protocols should be considered at a minimum, with Racetrack population testing as the ultimate goal if resources allow.

Unless otherwise specified, the personnel referenced throughout this document have been designated as necessary for the safe operation of all Racetrack activities (“Essential Personnel”). Those deemed to be Essential Personnel represent the minimum number of individuals required to operate all Racetrack activities in the

safest and most responsible manner while maintaining the Best Practice protocols at all times as outlined in EXHIBIT 2.

2. OBJECTIVES

These Best Practices are intended to (a) ensure the safety of employees, regulators, veterinarians, vendors and any others who may be part of the racing community competing on the grounds of a Racetrack; and (b) ensure that daily operations are conducted at the highest level of health and safety standards as set forth by racing regulators, state and local public health officials, the CDC and the Public Health Advisory Council. Racetracks are unique. Not all Best Practices will be applicable to every Racetrack. Consideration has been given to operational variations that may need to be addressed according to each circumstance but taken as a whole, these Best Practices are intended to serve as a guide to the safe and healthy conduct of live racing that is closed on-track to the general public.

They are specifically intended for Racetrack operators seeking approval to conduct live pari-mutuel horseracing that is closed on-track to the general public and conducted by Essential Personnel only. They are intended to apply to ALL persons who require access to the Racetrack and have been designated as Essential Personnel, including the Frontside of the racing facility and the Stable Area (the "Racetrack").

These Best Practices represent our current understanding of actions believed by experts to be necessary to keep employees, racing officials, regulators, veterinarians, vendors and equine athletes safe and healthy. Some racing facilities may have their own set of protocols and procedures to address risks unique to their individual communities. The horse racing industry, in consultation with the Public Health Advisory Council, will continue to review efforts at racing facilities across the U.S. and update these Best Practices as needed.

3. DEFINITIONS

- **Best Practices:** The guidance provided in this document based on the currently available information and practices for coronavirus mitigation
- **CDC:** Centers for Disease Control and Prevention
- **PPE:** Personal Protective Equipment (gloves and "Face Mask")
- **Essential Personnel:** Individuals necessary for the safe operation of Racetrack activities
- **EPA-Registered Disinfectant(s):** Disinfectants/cleaning solutions included in the EPA's CDC-approved ["List N"](#) that are effective against SARS-CoV-2, the virus that causes COVID-19

- **Face Mask:** A cloth face covering (minimum), N-95 mask, KN-95 mask or surgical mask
- **Fever:** Elevated body temperature greater than 100.4 degrees Fahrenheit or 38 degrees Celsius
- **Frontside:** The area of the Racetrack where Racetrack management and employees, racing officials, and others deemed to be essential to the conduct of live racing at the Racetrack are located excluding the Stable Area (see below) and any other areas not essential to the conduct of live racing at the Racetrack
- **Graded Stakes:** A stakes race with a Grade of 1, 2 or 3 awarded by the American Graded Stakes Committee.
- **Isolation:** The separation of a person or group of people known or reasonably believed to be infected with a communicable disease and potential infectious from those who are not infected in order to prevent the spread of the communicable disease
- **Jockeys Room:** A designated facility on the Frontside of a Racetrack that provides jockeys with private changing areas, shower facilities, steam room and sauna, workout room, dining area and common area, valet station, riders' silks room and weigh-in/weigh-out area
- **POC Testing:** Point of care testing defined as medical diagnostic testing at or near the time or place of patient care or evaluation
- **Physical Distancing:** Remaining out of congregate settings, avoiding mass gatherings and maintaining a distance of six feet from others
- **Quarantine:** The separation of a person or group of people reasonably believed to have been exposed to a communicable disease but not yet symptomatic from others who have not been exposed in order to prevent the possible spread of the communicable disease
- **Racetrack:** Racing facility including the Frontside and Stable Area
- **Stable Area:** The area of the Racetrack in which racing and stabling operations take place and where all horses, backstretch workers and others deemed to be essential to the care of the horses are located
- **Temperature Check:** Daily non-contact measuring of an individual's body temperature to verify that no Fever is present

4. ESTABLISHMENT OF COVID-19 SAFETY TEAM

To manage the myriad of issues and situations confronting a Racetrack in a manner consistent with these Best Practices, a Racetrack must establish a COVID-19 Safety Team (EXHIBIT 1) consisting of one or more representatives from each of the following: Racetrack operations, Racetrack security, Safety Steward, racing officials, racing regulators, horsemen, regulatory veterinarians and Stable Area/backstretch support groups (e.g., Racetrack chaplain). This Safety Team will communicate regularly on all operational issues related to COVID-19 in consultation with the Racetrack physician and the Public Health Advisory Council as needed. To facilitate these communications, the Safety Team will create and

maintain a directory of all key contacts including name, title, role, affiliation, cell phone number and email address.

5. CLEANING/SANITATION PROTOCOLS

- All cleaning staff will be provided with and required to wear PPE.
- All utilized areas in the Frontside and Stable Area, including dormitories and the Jockeys Room, will be cleaned and disinfected with EPA-Registered Disinfectant each day. Disinfecting protocols in high traffic areas will be increased and intensified. This includes all entrance(s) and key touch points such as elevator buttons, escalator handrails, door handles, bathrooms, occupied office space, paddock facilities, the starting gate, the press box and the Jockeys Room.
 - All surfaces will be sprayed with an EPA-Registered Disinfectant. Surfaces will be allowed to remain wet for a period of time consistent with manufacturers' directions. Surfaces will then be wiped with a clean cloth.
 - Floors will be mopped with an EPA-Registered Disinfectant or bleach solution.
 - All linens, towels and cleaning rags will be washed in hot water.
 - Trash bins will be emptied daily.
- Hand sanitizer stations will be installed at all touch point areas throughout the Frontside and Stable Area facilities. All bathrooms will be stocked daily with hand sanitizer and soap.
- Signage (in multiple languages) will be prominent throughout the Frontside and Stable Area to demonstrate and promote proper Physical Distancing, hygiene (e.g., handwashing techniques) and other COVID-19 protocols. This includes "front-of-house" and "back-of-house" areas.

6. FRONTSIDE OPERATIONS AND PROTOCOLS

- Live racing will be conducted in a spectator-free environment with NO fans in attendance on-track. Only a limited number of Essential Personnel (EXHIBIT 2) will be allowed restricted access to the Frontside of the Racetrack for the live racing programs and only during designated hours (e.g., 8:30 a.m. – one hour after the final race).

- All individuals on the Frontside will be required to display a state-issued racing license or current employee badge at all times.
- Media, owners and others will be prohibited from entering the Frontside for health and safety reasons.
- The Frontside work environment will be closely monitored each day and will strictly observe the highest levels of evolving health and safety standards as advised by the Public Health Advisory Council and set forth by the local and state public health officials, the CDC and other government agencies.
- A facility map of the Frontside that includes access points, handwashing and sanitizing stations, the paddock, the Jockeys Room, special viewing areas and other relevant areas will be made available to every individual entering the Frontside.

Employees

- ALL Employees will be trained on effective sanitary and health protocols, including:
 - Frequent handwashing for at least 20-seconds with soap or hand sanitizer should take place at least every 60 minutes and after virtually any activity. Examples of such activities include: restroom breaks, sneezing, touching the face, blowing the nose, cleaning, sweeping, smoking, eating, drinking, entering and leaving the facility, going on break, upon entering or leaving a workspace or area, etc.
 - All Frontside employees will receive training on COVID-19 safety and sanitation protocols per CDC guidelines.
 - All employees will be provided with a Face Mask (and gloves if appropriate) upon entry and will be required to wear it at all times while on property in adherence to state or local guidelines.
 - Training on how to properly use and dispose of all PPE and related materials will be mandatory.
- Each department or team of Essential Personnel will conduct Physical Distancing to the highest degree possible and will be isolated from other departments and personnel based on their respective race day responsibilities. Physical interaction will be restricted beyond what is absolutely necessary to safely conduct the running of a live race. E-mail, text and phone usage will be the primary means of inter-departmental communications.
- All employees, jockeys, horsemen, vendors and other personnel determined to be essential (EXHIBIT 2) will be assigned to limited point(s) of entry and exit to and

from the Frontside facility. There will be multiple checkpoint stations with easily identified floor markings to facilitate Physical Distancing in the entrance area(s) should multiple personnel arrive around the same time. Where possible, doors throughout the main paths of ingress and egress will remain propped open to limit touchpoints.

- All entrances to the Frontside will be manned by security and qualified screening personnel.
- A list of essential employees who will be allowed to access the Frontside facility on non-race days and live race days will be compiled and retained by security and qualified screening personnel at the appropriate entrance(s). Each employee entering the Frontside will be required to show valid ID upon arrival at their designated entrance(s).
- The layout of office spaces will be modified to ensure sufficient Physical Distancing.
- Hand sanitation stations (touch-free preferred) will be set up throughout the facilities, including entrances and exits, Paddock, and operating departments (e.g. Racing Office) to accommodate increased sanitation requirements.
- EPA- Registered Disinfectants will be used for all facility cleaning and maintenance activities.
- Staggered work start times will be incorporated so that essential Racetrack personnel do not overwhelm security and screening checkpoints.
- There will be designated areas and protocols for trainers to school horses in the Paddock and view morning workouts while maintaining all Physical Distancing and limited contact protocols.

All Personnel Visiting the Frontside

- Security will establish enhanced log-in and log-out protocols at all entrances, which will require documentation of name, commission license or employee badge number and time of day for all those entering and exiting the Frontside facility (EXHIBIT 3).
- The Essential Personnel who are permitted to access to the Frontside will be required to wear a wristband or sticker using alternating colors to confirm they have been screened each day.
- All Essential Personnel entering the Frontside will be provided with a Face Mask (and gloves if appropriate) upon entry and will be required to wear it at all times while on property in adherence to state or local guidelines.

- Security and screening personnel will screen every individual each time they enter the Frontside (EXHIBIT 3).

7. RACING AND STABLE AREA OPERATIONS AND PROTOCOLS

Stable Area Operations

The racing and stabling operations of the Racetrack (the “Stable Area”), where all horses, backstretch workers and others deemed to be essential to the care of the horses are located when the grounds are open, will be designated as a secure and heavily restricted area to allow the Racetrack to provide proper mitigation efforts to protect the health and safety of those living and working in this area. Accordingly, the following health and safety protocols will be instituted in the Stable Area to combat the risks associated with COVID-19:

- Only licensed individuals providing essential services in the Stable Area will be permitted access to the Stable Area, such as licensed trainers with horses stabled in the Stable Area and a reduced number of designated employees (e.g., grooms, hotwalkers, exercise riders, foremen, night watchmen, etc). Designated employees must be approved by the Racetrack’s senior racing official before they can be included each trainer’s work list.
- Additional personnel who may be granted access to the Stable Area include (1) veterinarians and their employees, (2) farriers, (3) feed companies, (4) tack companies, (5) benevolence employees, (6) emergency medical staff including, physicians, nurses, EMTs and paramedics, (7) transportation company employees, (8) jockeys, and any other individuals deemed essential to Stable Area operations by the Racetrack’s senior racing official in consultation with public health experts.
- Access to the Stable Area will be limited to a reduced number of designated entrances and individuals will be assigned to a specific entry/exit point.
- All Stable Area employees will receive training on COVID-19 safety and sanitation protocols per CDC guidelines.
- All those entering the Stable Area must be logged in/out, undergo a Temperature Check and asked a series of screening questions by qualified screening personnel. The questions will be available in multiple languages. (EXHIBIT 3)
- All individuals entering the Stable Area will be required to wear Face Masks at all times, which will be provided by the Racetrack if necessary.

- The Racetrack must ensure, to the greatest extent practicable, that the Essential Personnel in the Stable Area remain in their assigned barns, dormitories or tack houses, except when going to and from the track, laundry facilities, bathroom and bathing facilities and kitchens or dining facilities for the purpose of food carry-out or pick-up.
- A Stable Area curfew from 9:00 pm and 4:00 am will be in force. As such, no entry into the Stable Area will be permitted between 9:00 pm and 4:00 am unless there is an emergency. Veterinarians, horsemen and medical personnel attending to an emergency will be required to go through all applicable security protocols prior to entry.
- Operational protocols relating to Quarantine and Isolation will be established for individuals in the backstretch community who exhibit symptoms for COVID-19 as outlined by relevant government authorities and public health experts, including the Public Health Advisory Council (EXHIBIT 4).
- No owners, media, fans or guests will be allowed to enter into the Stable Area at any time with no exceptions.
- Only trainers who have been allotted stalls and subjected to screening protocols will be allowed access to the Stable Area.
- Trainers will be limited to Essential Personnel only in their designated barns.
- Only one person is permitted in the tack rooms and/or offices at any given time without exception.
- Tack and barn equipment (e.g. lead shanks, grooming tools, mucking equipment, tack) will be assigned to specific personnel only and must be cleaned and sanitized on a daily basis at a minimum.
- All security staff will be provided with and required to wear a Face Mask (and gloves if appropriate) while practicing and enforcing Physical Distancing in adherence to state or local guidelines.
- Security personnel will receive training and guidance on all health and safety protocols and procedures to ensure that all suspected or confirmed cases of COVID-19 infection are properly communicated and contained within the Stable Area.
- Security will monitor and enforce restricted access to Isolation areas.
- The Stable Area Kitchen will remain open on a carry-out basis only and Physical Distancing must be practiced at all times.

- A facility map that includes access points, screening checkpoints, handwashing and sanitizing stations, Isolation housing, Quarantine housing, Quarantine stabling and other relevant areas will be made available to every individual entering the Stable Area.

Dormitories

- A list of trainers' essential staff seeking residence at the Racetrack must be compiled and approved by a trainer. Those individuals must then submit an application to reside in a dormitory to the senior racing official.
- All residents will be required to wear a Face Mask (and gloves if appropriate) when leaving their living quarters.
- All living quarters will have their common areas disinfected daily with an EPA-Registered Disinfectant as outlined in the Cleaning and Sanitation Protocols.
- Any living quarters inhabited by an individual currently under Quarantine or Isolation will be cleaned and disinfected by an outside professional cleaning company.
- Specific protocols (e.g., Isolation and Quarantine housing in the Stable Area) will be established, as outlined by CDC guidelines, for individuals who may have been exposed to, test positive for or show symptoms of COVID-19 (EXHIBIT 4).
- A roster and location of all individuals living in the dormitory rooms will be retained by the Racetrack.

Onsite Daily Check-Ups

- Stable Area workers and residents will be required to undergo daily check-ups in a designated area in the Stable Area (e.g., Recreation Hall). Barn workers and residents will report to the designated screening location at specified times for a non-contact Temperature Check. Individuals will receive a wristband or sticker to indicate that they have been screened each day.
- Stable workers associated with odd and even numbered barns or dormitory rooms will report to the designated screening area at an assigned time each day (e.g., Odd: 11 a.m. – 1 p.m., Even: 1 p.m. – 3 p.m.) to avoid crowds.
- Security will cross-reference all employees and residents to ensure participation in daily check-ups. At the conclusion of daily check-ups, Security will also randomly check to make sure workers have the current color-coded wristband or sticker. Anyone who does not have the correct wristband will be asked to re-screen immediately.

Stable Area Horse Movement Protocols

- All Essential Personnel permitted entrance to the Stable Area will be required to wear Face Masks (and gloves if appropriate) in adherence to state or local guidelines.
- Systematic, controlled and scheduled arrival of horses/barn personnel to the paddock will be required by Racetrack and must fall within state-mandated travel directives.
- Horses and individuals will be prohibited from entering into the Stable Area without prior approval from the Racetrack's senior racing official in consultation with the track's COVID-19 Safety Team.
- All Essential Personnel, including van drivers and vendors, entering the Stable Area will be screened by qualified screening personnel with both a Temperature Check and medical screening questions. (EXHIBIT 3)
- Trainers with stalls on the grounds and current staff living on the grounds may bring horses onto the property to fill vacant stalls with after undergoing screening protocols and receiving approval for Racetrack officials in consultation with the COVID-19 Safety Team.
- No horse or outside staff will be permitted to enter through the stable gate without prior approval from the Racetrack senior racing official. All staff will be screened by the trained personnel with both a Temperature Check and medical screening questions. (EXHIBIT 3)
- Trainers stabled in the Stable Area may ship horses stabled in the Stable Area to other racing facilities, but onsite staff and Essential Personnel are prohibited from accompanying the horse for the race. The horses shipping to another track must be shipped in care of a trainer licensed and stabled at the other racing facility and cared for by that trainer's staff. The horse may return to the Racetrack of origin after racing at another track only if unaccompanied by staff from the other racing facility until further notice.

Protocols for the Arrival of Horses Currently Stabled Off Grounds at Another Racetrack or Farm to the Stable Area

- The import of horses into the Stable Area from another track will occur under strict protocols and only with the approval of the senior racing official and the COVID-19 Safety Team.
- Trainers who have been awarded stalls on property through the stall application process but are currently stabled at another track will only be permitted to ship into

the Racetrack upon authorization by the Racetrack's senior racing official and COVID-19 Safety Team.

- Once approved for admission, trainers will coordinate with transportation companies to schedule shipment of horses to the Racetrack at a designated time of arrival approved by the Racetrack.
- Horsemen will provide number of horses and a list of employees that will be on the shipment.
- When the van arrives at the stable gate, security will receive and approve all required equine health certificates and coggins for the horses arriving on the grounds.
- Once approved, vans will proceed to specified barn for unloading.
- Vans must be cleaned and sanitized before leaving grounds.
- All barn staff accompanying the horse shipment who have been designated as Essential Personnel will be met by screening personnel at the stable gate for Temperature Checks and medical screening questions. A bilingual representative will be present for translation.
- Any individual that does not meet the health requirements will be denied access, referred to a nearby medical facility and required to observe a seven-day self-Quarantine at minimum as outlined by the CDC. Racetrack staff will keep a log of individuals. (EXHIBIT 3)
- All individuals arriving on the shipment will be advised of all the barn area COVID-19 protocols and will be required to follow them at all times. Importantly, all drivers and assistants will be required to wear Face Masks (and gloves if appropriate) at all times while on track property.

Trainers Shipping in to Run Without Stalls on Grounds

- Horses shipping in to race at a Racetrack from trainers who are not stabled in the Stable Area can only ship without staff. Therefore, the horse must ship to a trainer stabled in the Stable Area who will then look after the horse. Different requirements will apply to horses shipping in the Stable Area to compete in a Graded Stakes race as outlined in the next section.

A licensed trainer or assistant trainer currently stabled on the grounds will be required to saddle the ship-in horse. These arrangements must be made by the trainers themselves and approved by the Racetrack stewards in consultation with the COVID-19 Safety Team.

Graded Stakes Ship-In Protocols

- A separate Quarantine barn and Quarantine housing shall be established for any essential staff accompanying any horse that ships into the Stable Area to compete in a Graded Stakes race.
- Essential staff accompanying ship-in horses competing in a Graded Stakes race will remain Quarantined from the existing Racetrack population at all times.
- Incoming essential staff will be met by qualified screening personnel upon arrival at the Racetrack stable gate to have their temperatures checked and go through medical screening questions. Those who do not pass the screening will be prohibited from entering, directed to a nearby medical facility for testing and asked to self-Quarantine for seven days at minimum. (EXHIBIT 3)
- A separate training period will be established for ship-in Graded Stakes horses each morning on the main track to ensure separation from the horse population based at the track.
- All visiting essential staff will be provided with and required to wear a Face Mask (and gloves if appropriate) in adherence to state or local guidelines while in Quarantine and at all times on Racetrack property.

Racing Office Operations

- Racing Office staff shall enter through a designated entrance and will be subjected to temperature readings and medical screening questions. (EXHIBIT 3)
- Racing Office staff shall be provided with and required to wear Face Masks (and gloves if appropriate).
- Racing Office staff will be isolated to their areas of work and asked to stay in that location throughout the workday (e.g., Paddock Judge in paddock, Placing Judges in a separate office).
- Sanitization stations will be located throughout the racing facilities.
- Physical Distancing will be enforced in the racing department at all times.
- Jockey agents will be prohibited from attending the post-position draw. Access to a teleconference will be made available.
- A Steward will be present at every post-position draw.
- All entries shall be made by phone.

- Trainers wishing to claim horses may enter the office and use the claim box, which will be positioned in an isolated area to allow for Physical Distancing. PPE will be required.
- Trainers wishing to claim horses will be required to view horses in the paddock area from a separate area and wear a Face Mask (and gloves if appropriate).
- The Racing Office facilities will be cleaned and sanitized daily.
- Stewards hearings will be conducted via telephone or video conference.
- Video review of races with jockeys will take place individually to promote Physical Distancing.
- Jockey movie reviews will be held separately in quarters that allow for Physical Distancing.
- There will be a designated placing judge submitting post numbers for the tote board. The placing judge will work in unison with the stewards in placing the horses each race and determining the outcome of all photos while practicing Physical Distancing.

Paddock Access and Operations

- Only Essential Personnel wearing PPE may enter the paddock. Individuals allowed access to the paddock include:
 - Paddock judge
 - Assistant to the paddock judge
 - Outriders
 - One trainer OR assistant trainer OR foreman per horse
 - One groom per horse
 - Emergency medical personnel
 - Standby blacksmith
- No owners or guests will be allowed on the grounds.
- Physical Distancing will be enforced in the paddock. Pre-race conversations with jockey pre-race must be completed earlier in the day by phone or text.
- A sanitizing station will be placed in the paddock area.

Veterinarians

- ALL veterinarians must wear PPE when examining horses.

- Each trainer must designate a single point of contact to interact with his/her veterinarian.
- Veterinarians must follow strict handwashing and hand sanitization protocols between barns and individual examinations.
- Additional health and safety protocols for Regulatory Veterinarians, Veterinary Practitioners and Detention Barn personnel are attached (EXHIBIT 5).

Starting Gate – Morning Schooling

- All gate staff have been designated as Essential Personnel and will be provided with and required to use PPE, sanitizing wipes and hand sanitizer.
- The starting gate shall be sanitized and cleaned daily.
- Physical Distancing must be practiced.

Outriders

- Outriders have been designated as Essential Personnel and will be provided with and required to a Face Mask (and gloves if appropriate).
- Physical Distancing must be practiced by all outriders.
- Outriders will enforce the practice of Physical Distancing by all exercise riders.

Track & Maintenance Crew

- Racetrack and Turf Course crews have been designated as Essential Personnel and will be provided with and required to wear Face Masks (and gloves if appropriate).
- Racetrack and Turf Course crews will be required to practice Physical Distancing by working in smaller units.
- All track equipment will be cleaned with a EPA-Registered Disinfectant on a daily basis.
- Only one crew member will be allowed per vehicle unless the vehicle's operation requires more than one crew member.
- All vehicles will be equipped with hand sanitizer, sanitizing wipes and additional Face Masks and gloves for easy race day dispersal if replacements are required.

- All crews will have different start times and entry points to ensure sufficient race day coverage while maintaining Physical Distancing and sanitizing hands on a regular basis to provide the safest workplace environment possible.
 - Additional break areas will be established to help with social distancing.
 - All tractors and water trucks will be equipped with Face Masks, gloves, sanitizing wipes and hand sanitizer.

Starting Gate & Crew

- All starting gate personnel have been designated as Essential Personnel and will be provided with and required to use PPE, sanitizing wipes and hand sanitizer. Glove changes between each race are required.
- The starting gate will be sanitized daily during live racing operations.
- All starting gate staff will be required to remain near the gate between races or, in the case of inclement weather, in a designated area for protection. Physical Distancing between races will be required.

Only horsemen with horses racing that day will be allowed onto the Frontside.

Race Viewing

- Trainers, assistant trainers, grooms and trainers wishing to make a claim will be allowed to watch the race from designated areas, including the apron on the Frontside. Physical Distancing and regular hand sanitization will be required at all times.
- A hand sanitizing station will be located at or near the entrance to the designated viewing areas.
- No owners or guests will be permitted to enter the Racetrack grounds.

Winner's Circle

Racetracks will be required to eliminate post-race winner's presentation to practice Physical Distancing.

8. JOCKEYS

- Jockeys have been designated as Essential Personnel and will be required to enter the Racetrack through designated entrances and undergo daily screening

procedures upon arrival (e.g., temperature reading and medical questionnaire). (EXHIBIT 3)

- Jockeys are allowed to access the Stable Area only to exercise horses and will be required to leave the Racetrack after their work or last race is completed.
- Jockey stations (e.g., individual dressing areas) will be expanded by adding temporary quarters to accommodate Physical Distancing requirements.
- No visitors/family will be permitted access to the Racetrack.
- The sauna and hot box/steam room will be closed. (Regulators may consider requesting a weight allowance for each starter.)
- The shower and restroom areas will remain open but the practice of Physical Distancing will be enforced.
- Limitations for jockeys arriving at and departing from the Racetrack shall include:
 - Quarantining visiting jockeys in separate quarters.
 - Permitting visiting jockeys to ride only if they have a stakes engagement on that day.
 - Requiring jockeys travelling overseas to follow CDC guidelines for Quarantine upon return (14 days).
 - Implementing mandatory Quarantine and increased monitoring protocols, as outlined by the CDC, for jockeys who have traveled domestically upon their return to the Racetrack (Seven days with daily monitor for Fever and other signs of the COVID-19 infection using the Screening Form).
- Security wearing PPE will be stationed in the Jockeys Room.
- Cleaning attendants will be assigned to the Jockeys Room in shifts throughout the day and required to use EPA-registered disinfectants at all times.
- An outside professional cleaning service will deep clean and disinfect the area nightly.
- A sanitizing station will be set up at the entrance to and inside of the Jockeys Room.
- Congregating areas in the Jockeys Room will be closed.

- All jockeys, valets and race officials will be required practice Physical Distancing and wear Face Masks (and gloves if appropriate) during the weigh in and out process and a limited number of employees will staff this process.
- All jockeys must wear sanitized riding gloves and change them between rides.
- All silks will be washed after each race.

Valets

- Valets have been designated as Essential Personnel, and their admission procedures will be identical to jockeys.
- All valets will be provided with and required to wear Face Masks (and gloves if appropriate) at all times.
- Valets will be required to change their gloves and/or sanitize their hands every time they saddle a horse.
- All jockey equipment will be cleaned and sanitized after each race.
- Valet stations will be isolated from jockeys to promote Physical Distancing.

EXHIBIT 1

RACETRACK COVID-19 SAFETY TEAM MEMBERS AND RESPONSIBILITIES

- Track will establish a COVID-19 Safety Team consisting of the following members:
 - Racetrack senior racing executive
 - Racetrack senior operations executive
 - Racetrack senior security executive
 - Track physician
 - Safety Steward
 - Regulatory veterinarian
 - Horsemen's Group senior executive
 - Key leader(s) of Stable Area benevolence programs (e.g. Racetrack chaplain).

- The Safety Team will communicate regularly (at least two telephonic meetings daily and as needs arise) on all operational issues related to COVID-19 in consultation with the Public Health Advisory Council as needed.

- Safety Team members will ensure that protocols are in place to monitor backstretch community residents on a daily basis for COVID-19 symptoms.

- Areas of concern addressed by Safety Team include:
 - Daily status updates and discussions relating to ALL Racetrack community members under COVID-19 evaluation, in Isolation or in Quarantine;
 - Discussions of PPE availability, new protocols, communications and updates from affiliated medical staff;
 - Discussion and implementation of guidance and protocols from racing regulators, local, state and federal health officials, etc.
 - Provisions for POC Testing and planning/implementing additional testing protocols and contact tracing protocols for any instance of exposure.
 - Any other areas of concern related to COVID-19 and the health and welfare of individuals on the Racetrack grounds.

EXHIBIT 2
ESSENTIAL PERSONNEL

RACETRACK OPERATIONS

- Executive and Management Staff
- Racing Department
 - Racing Officials
 - Stewards
 - Placing judge
 - Paddock judge
 - Horse identifiers
 - Clerk of Scales
 - Racing Office Personnel
 - Assistant Racing Secretary
 - Racing Officials
 - Clerk of the Course
 - Racing Employees
 - Outriders
 - Head starter
 - Assistant starters
 - Blacksmith in paddock
 - Track physician
 - Jockeys Room personnel
 - Color Man
 - Numbers/saddle towel attendant
 - Laundry attendant
 - Valets
- Racetrack TV Department
 - Producers
 - On-Air Talent (in studio on grounds or working from remote location)
 - Crew
 - Engineers
- Security
 - Supervisors
 - Frontside staff
 - Stable Area staff
- Facility Staff
 - Supervisors/Office personnel
 - Facility maintenance personnel
 - Plumbers
 - Electricians
 - Steamfitters
 - Engineers
 - Carpenters
 - Frontside cleaners
 - Stable Area cleaners
- Track/Barn Crews

- Track maintenance crew
- Infield Crew
- Ambulance Drivers
- Paddock maintenance
- Stable Area Maintenance
- Grooms/backstretch workers?
- Etc.

HORSEMEN

- Veterinary practitioners
- Trainers and staff
 - Assistant trainers
 - Foreman
 - Grooms
 - Hotwalkers
 - Exercise riders
 - Night watchmen
- Jockeys
- Farriers

MEDICAL PERSONNEL

- Qualified screening personnel
- Doctors/physicians
- Physician's assistants
- Nurse practitioners
- Nurses
- EMTs
- Paramedics

MISCELLANEOUS

- Track chaplain
- Horsemen's Association representative

EXHIBIT 3

MEDICAL SCREENING AND LOG-IN PROTOCOLS FOR INDIVIDUALS ENTERING THE RACETRACK

Initial Screening and Risk Assessment:

- An initial non-contact forehead Temperature Check will be taken. The temperature must be measured within CDC guidelines (e.g., less than 100.5F or 38C) to be considered eligible for entry.

- A list of pertinent medical questions shall be asked of each individual as follows:
 1. Are you experiencing, or have you experienced, any of the following symptoms in the past 14 days: Fever, chills, new loss of taste or smell, new or worsening sore throat, new or worsening cough, new or worsening muscle aches and/or tiredness, or difficulty breathing?

 2. Have you been in contact with anyone who is, or has experienced the following symptoms, assumed to have COVID-19 without confirmation from testing, or has been confirmed positive for COVID-19 in the past 14 days; Fever, new or worsening cough, new or worsening muscle aches and/or tiredness, difficulty breathing?

 3. Are you aware that avoiding touching your face and washing your hands multiple times per day, including before eating, are two ways to help stop the spread of COVID-19? Please use our handwashing and hand sanitizing stations located throughout the campus and in the restrooms.

Level 1 Risk

Any individual who answered affirmatively to any two questions or is showing visible signs of illness or high temperature will not be granted immediate access. The visitor will be discreetly informed that a security supervisor must speak with them and that a secondary screening must take place before they are granted access. Security will log the following information:

- Name, Date and Time
- Regulatory authority license number or employee badge number
- License plate number (if applicable)

Secondary Screening:

- Individuals displaying an elevated temperature or other outward COVID-19 symptoms will be escorted to a designated, private and isolated area near the entrance and provided with PPE. Qualified screening personnel using appropriate PPE consisting of a Face Mask, gloves and protective eyewear will record a second temperature reading.

- If the visitor refuses the secondary reading, he or she will be denied entry to the property.
- If the individual displays an elevated temperature after the second reading, he or she will be denied access to the Racetrack, directed to a local medical facility and required to self-Quarantine for seven days at minimum.
- If the individual shows no symptoms of COVID-19 and does not display an elevated temperature after the second reading, qualified screening personnel and the supervising security officer may, at their discretion, allow the individual access to the grounds if POC Testing is not available. If POC Testing is available, a negative test will be required in order to allow entry.

Level 2 Risk

Should the security supervising officer or qualified screening personnel determine that there is a COVID-19 risk, they will prohibit access and document the occurrence. For these cases, the security lead officer will be contacted immediately for further discussion and review of the evidence to determine the level of risk that exists. The security lead may at their discretion grant access to the visitor upon completing their investigation and/or assessment, which would include POC Testing, if available.

Level 3 Risk

If a visitor is not granted access upon determination by the security lead due to COVID-19 risks and is a resident of the backstretch, the employee will be subject to Quarantine procedures (EXHIBIT 4). Non-residents will be asked to leave the property, advised to seek medical care and required to self-Quarantine for seven days at minimum. An ambulance will be called if transportation is necessary. At no time will the visitor be referred to use public transportation (e.g., Uber/Lyft, taxi, bus).

Similar Risk level assessments will be made during regular and ongoing monitoring of the Stable Area community and dormitories.

COVID-19 Testing:

COVID-19 Testing. At this time, these Best Practices support but do not definitively recommend widespread testing of the Racetrack population for the purpose of identifying individuals who are positive for COVID-19 because the science and value of population testing are evolving rapidly. Instead, these Best Practices call for daily screening and POC testing in limited circumstances. However, we will continue to review the scientific results of population testing currently being conducted and based upon those results may amend these Best Practices in the future to provide population testing protocols.

Sample Screening Form (in multiple languages):

SECURITY ACCESS QUESTIONNAIRE		
INSPECTION INFORMATION		
DATE:	TIME:	SECURITY STAFF:
NAME OF PERSON REQUESTING ACCESS:		BADGE/CREDENTIAL NUMBER:
CIRCLE THE ANSWER FOR EACH QUESTION BELOW		
1. Can you provide a current regulatory license or relevant credentials to access the backstretch?	YES	NO
2. Have you, or someone you have come in contact with, travelled or returned from any international travel in the past 21 days?	YES	NO
3. Are you experiencing or have experienced any of the following symptoms in the past seven days? <ul style="list-style-type: none"> <input type="radio"/> Fever <input type="radio"/> New or worsening cough <input type="radio"/> New or worsening muscle aches and tiredness <input type="radio"/> Difficulty breathing <input type="radio"/> New or worsening sore throat <input type="radio"/> Chills <input type="radio"/> New loss of taste or smell <input type="radio"/> New or worsening headache 	YES	NO
4. Have you been in contact with anyone who is or has experienced any of the following symptoms in the past seven days? <ul style="list-style-type: none"> <input type="radio"/> Fever <input type="radio"/> New or worsening cough <input type="radio"/> New or worsening muscle aches and tiredness <input type="radio"/> Difficulty breathing <input type="radio"/> New or worsening sore throat <input type="radio"/> Chills <input type="radio"/> New loss of taste or smell <input type="radio"/> New or worsening headache 	YES	NO

<p>5. Are you aware that washing your hands multiple times per day and before eating is the most important way to stop the spread of the Coronavirus? Please use our handwashing stations upon entering the cafeteria areas, dorms and at every opportunity through any of the washrooms located throughout the backstretch.</p>	<p>YES</p>	<p>NO</p>
<p>6. Forehead temperature measured greater than 100.4°F?</p>	<p>YES</p>	<p>NO</p>
<p>ADDITIONAL/COMMENTS</p>	<p>Record actual measured temperature if above 100.4°F _____ Time of Measurement: _____</p>	

Sample Frontside Check-In List:

	SECURITY ACCESS CHECK-IN				
	Employee Name (Print)	Badge #	Date/Time	Department	Reason
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					

Sample Stable Area Check-In List:

Trainers must provide a list of all of their essential employees upon shipping into Stable Area. ONLY EMPLOYEES LISTED BY TRAINER WILL BE CONSIDERED FOR ADMITTANCE INTO THE STABLE AREA. List to be kept on file in Stable Office.

STABLE AREA ACCESS CHECK-IN

	Name	Badge/Credential #	Date/Time	Person/Barn Visiting
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				

EXHIBIT 4

Isolation & Quarantine Protocols for Backstretch Residents Exhibiting COVID-19 Symptoms

- Racetrack will follow all Isolation and Quarantine protocols put in place by the local and state health departments and the CDC.
- Each Racetrack should, to the best of their ability, implement POC Testing pending the availability and status of testing in their state/local community.
- Individuals showing any temperature or concerns due to the screening protocols that make up daily check-ups will undergo POC Testing if available, be referred to qualified medical personnel as needed and required to observe a seven-day minimum Quarantine in Quarantine housing.
- Racetrack will establish Isolation living quarters for those that have tested positive but do not require hospitalization and Quarantine living quarters for those who have been exposed to COVID-19 or flagged as a result of a daily check-up. Capacity will vary by track, but an appropriate ratio of living quarters for the backstretch population will be required.
- Disposable clothing will be provided, if needed, every two days to those living in Isolation. The dirty clothing will be disposed of in a safe and sanitary fashion through an established hazardous waste company.
- Individuals in Isolation will be provided with and required to wear PPE at all times when outside their room at designated times.
- Individuals in Quarantine will be provided with and required to wear PPE at all times when outside their room.
- Meals for those in Isolation or Quarantine will be delivered three times per day.
- Dedicated times (e.g., twice daily) will be established for individuals in Isolation who have gone three days without Fever to leave their quarters to be outside.
- Tele-visits with doctors will be coordinated as needed.
- Daily contact will be kept with each individual by phone through a member of the Safety Team (e.g. chaplain).
- Individuals will be released from Isolation or Quarantine according to CDC guidelines. The release of individuals from Isolation will also require approval from a doctor.

- Racetrack will follow protocols set forth by the CDC in conjunction with advice from the medical personnel.
- Outside professional cleaning and disinfecting services will be performed daily at the Isolation facilities.
- Security will ensure that Isolation facilities are locked and secure at all times.

EXHIBIT 5

COVID-19: LIVE RACING PROTOCOLS FOR REGULATORY VETERINARIANS AND DETENTION BARN STAFF

SCOPE

This document covers the Best Practices and guidelines for minimizing the spread of COVID-19 during:

- Examination of horses for any reason
- Observation of training and racing, including responding to incidents on the track
- Furosemide (Lasix) administration
- Any other interaction with Racetrack employees, trainers or trainers' staff, jockeys

RESPONSIBILITIES

The Regulatory and Lasix veterinarians are responsible for the following:

- Minimizing the risk of spread of COVID-19
- Ensuring those around them are minimizing the risk of spread of COVID-19

STAFF

The normal race day requires four regulatory veterinarians; their adapted duties due to COVID-19 are as follows:

- Mornings: All four veterinarians perform independent pre-race examinations while practicing Physical Distancing.
- Race afternoons:
 - One veterinarian oversees detention barn,
 - One veterinarian assigned to on-track duties in the 'chase truck',
 - One veterinarian attends saddling and unsaddling, and
 - One veterinarian may adopt administrative functions working in isolation.
- Each veterinarian must have his/her own equipment and office space; sharing equipment or office space is prohibited.
- The veterinarians are required to wear PPE at all times.

The Lasix Clerk is an administrative position that may be achieved working from home on race days, with minimal on-site, solo office attendance on non-race dates.

The Detention Barn supervisor minimally shares an office with the detention barn veterinarian. This space and the veterinarians' low usage ought to be sufficient to effect distancing needs.

The number of detention bar staff will be reduced substantially due to Physical Distancing requirements. Detention barn staff are assigned individual races to work

and escort post-race test horses from unsaddling to the Detention Barn, observe those horses during cool-out, and then return to the stall alone with the horse to catch a urine sample. These employees will be required to wear PPE and may readily maintain distancing throughout the race day.

PROCEDURES

- At all times, all Veterinarians must follow current recommended guidelines from the CDC and the Public Health Advisory Council, including:
 - Wearing PPE (gloves and Face Mask) at all times;
 - Changing PPE on a regular basis;
 - Practicing Physical Distancing at all times;
 - Avoiding all physical contact, including, but not limited to, greetings;
 - Covering mouth and nose with arm or shoulder in addition to PPE when coughing or sneezing;
 - Refraining from sharing pens, equipment or anything else; and
 - Washing hands for 20-seconds and/or using hand sanitizer on a regular basis (between each barn at a minimum).
- CDC and Public Health Advisory Council guidelines must be observed at all times, including but not limited to:
 - During visits to the Stable Area, including for examinations of working horses, Regulatory Veterinarians and Lasix Veterinarians;
 - During Regulatory Veterinarians' observation of training or racing; and
 - When interacting with other veterinarians or Essential Personnel.
- Additional requirements, as outlined below, must be met in the following instances:
 - During Lasix Veterinarians' administration of furosemide:
 - The individual holding the horse must stay on the other side of the horse unless there is an emergency that puts the safety or wellbeing of the horse or individual at risk
 - When veterinarians are responding to an incident on the track:
 - Veterinarians must put the care of the horse first, but attempt to maintain distance from others attending to the scene when possible;
 - Limit the number of people on the scene to required individuals only, including the horse ambulance driver, the employee holding the horse and the Regulatory Veterinarian unless he or she requests additional assistance as needed via outriders;
 - Suspend the use of screens; and
 - Allow only one individual to ride in the ambulance with the horse (grooms, trainers and/or other connections who have been designated as Essential Personnel will be required to find separate transportation).

- If a Regulatory Veterinarian feels sick or is displaying symptoms consistent with COVID-19, the Regulatory Veterinarian will:
 - Notify the Track physician and/or Safety Steward;
 - Offer POC Testing if available;
 - Notify fellow Regulatory Veterinarians; and
 - Stay home during duration of symptoms or as mandated by local health authorities and track policy

- If a Regulatory Veterinarian or Lasix Veterinarian receives a positive test result or diagnosis of the infectious disease, the Regulatory Veterinarian will:
 - Notify the Track physician and/or Safety Steward who will work with the Regulatory Veterinarian to identify and notify veterinarians, staff or trainers that they have been in contact with or could have been exposed to the virus. The CVO will communicate with the appropriate individuals at each track and the track's operator; and
 - Self-isolate for 14 days in observance of CDC, state and local government and Public Health Advisory Council guidelines and seek medical care as needed.

- At all times, all Detention Barn personnel must follow current recommended guidelines from the CDC and the Public Health Advisory Council, including:
 - Wearing PPE (gloves and Face Mask) at all times;
 - Changing PPE on a regular basis;
 - Practicing Physical Distancing at all times;
 - Avoiding all physical contact, including, but not limited to greetings;
 - Asking the individual holding the horse to stay on the other side of the horse while being identified and during the blood draw unless there is an emergency that puts the safety and wellbeing of the horse or individual at risk;
 - Covering mouth and nose with arm or shoulder in addition to PPE when coughing or sneezing;
 - Disinfecting refrigerator/freezer handles on a daily basis;
 - Restricting access to the refrigerator and freezer to only the detention barn supervisor and detention barn Regulatory Veterinarian;
 - Refraining from sharing pens, equipment or anything else;
 - Suspending the requirement for signature of witness (witness name and badge number will be written on the card in view of the camera instead); and
 - Washing hands for 20-seconds and/or using hand sanitizer on a regular basis (between each horse at a minimum to ensure the integrity of the samples taken).